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## Energetics and the Evolution of Brain Size in Early *Homo*

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### **Introduction**

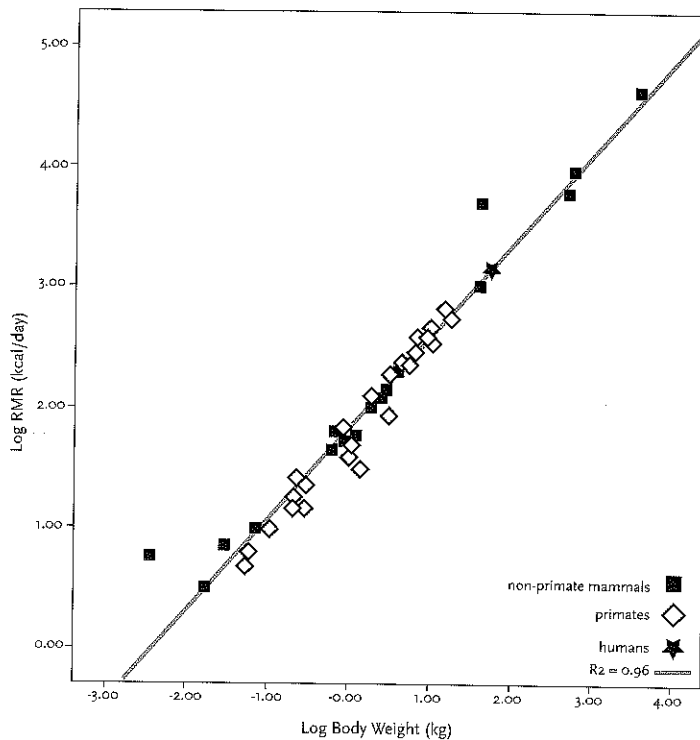
Anthropologists have increasingly begun to rely on energetic models to understand the patterns and trends in hominin evolution (e.g. Aiello and Wheeler, 1995; Leonard and Robertson, 1994, 1997; Leonard, 2002). The acquisition of food energy, its consumption, and ultimately its allocation for biological processes are all critical aspects of an organism's ecology (McNab, 2002). In addition, from the perspective of evolution, the goal for all organisms is the same – to allocate sufficient energy to reproduction to ensure their genes are passed on to future generations. Consequently, by looking at the ways in which animals go about acquiring and then allocating energy, we can better understand how natural selection produces important patterns of evolutionary change. This approach is particularly useful in studying human evolution, because it appears that many important transitions in the hominin lineage – the evolution of bipedality, the expansion of brain size and the initial colonization of northern climes – had implications for energy allocation (Leonard, 2002).

In this chapter, we use an energetic approach to gain insights into the evolution of brain size with the emergence of the genus *Homo*. We begin by looking at the energy demands associated with large brain size in modern humans relative to other primates and other mammals. We then examine the hominin fossil record to gain insights into changes in brain size, foraging strategies and dietary patterns associated with the evolution of early *Homo*. Both the comparative and fossil evidence suggest that the increased metabolic costs of larger brain sizes in the genus *Homo* were dependent upon the changes in dietary quality and alterations in body composition. Although we do not know the specific components of the diet of early *Homo*, it does appear that these hominins consumed a diet of greater energy and nutritional density than their australopithecine ancestors. In addition, it also appears that expansion of the brain size in the hominin lineage was associated with

potential reductions in muscularity and/or gastrointestinal (GI) mass and increases in adiposity (body fatness).

### Metabolic demands of large brain size

What is remarkable about the large human brain is its high metabolic cost. The energy requirements of brain tissue are about 29 kcal/100 grams/day, roughly 16 times that of skeletal muscle tissue (Kety, 1957; Holliday, 1986). This means that for a 70 kg adult human with a brain weight of about 1400 grams, over 400 kcal per day are allocated to brain metabolism. Yet despite the fact that humans have much larger brains than most other mammals, the total energy demands for our body – our resting energy requirements – are no greater than those of a comparably sized mammal (Kleiber, 1961; Leonard and Robertson, 1992).

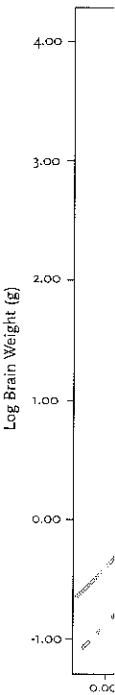


**Fig. 1.** Log-Log plot of resting metabolic rate (RMR; kcal/day) versus body weight (kg) for 51 species of terrestrial mammals (20 non-primate mammals, 30 primates, and humans). Humans conform to the general mammalian scaling relationship, as described by Kleiber (1961). The scaling relationship for the entire sample is:  $RMR = 69(Wt^{0.755})$ . Data are from Leonard et al. (2003) and Snodgrass et al. (1999).

This point is evident in the relationship between metabolic rate (RMR) and body weight in primates, and humans. The relationship between energy demands and

RMR (kcal/day)

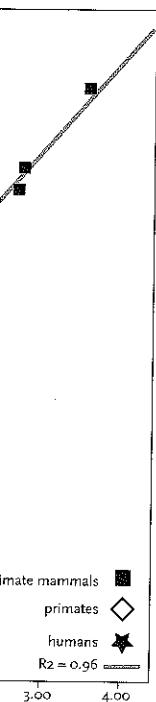
The implication of this relationship is that the energy budget of a species is constrained by its body weight, which shows the same species



**Fig. 2.** Log-Log plot of brain weight (g) versus body weight (kg) for 51 species of terrestrial mammals. The relationship between brain weight and body weight is:  $BW = 0.0001(Wt^{1.15})$ . Primates have brain sizes that are larger than other mammals of the same body weight.

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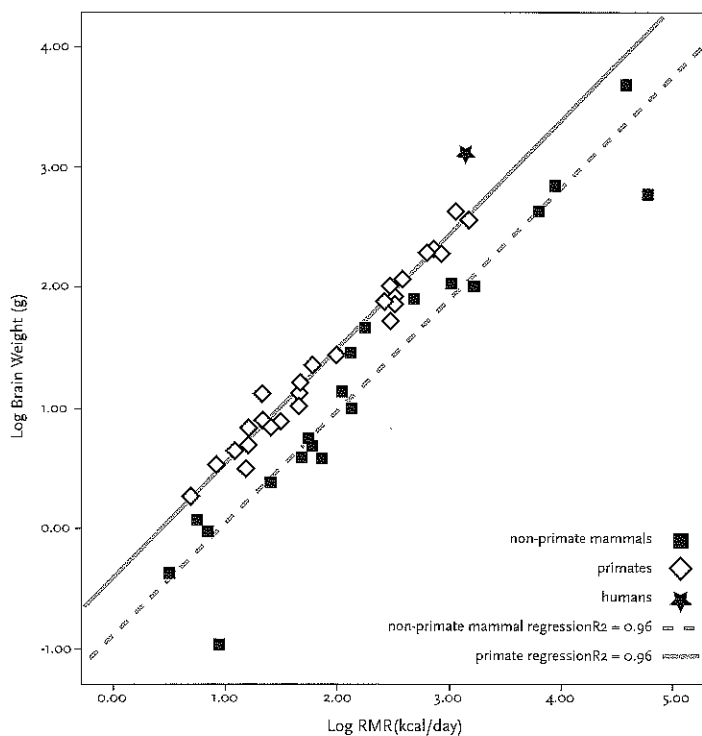


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This point is evident in Figure 1, which shows the relationship between resting metabolic rate (RMR; kcal/day) and body weight (kg) in non-primate mammals, primates, and humans. Humans conform to the general mammalian scaling relationship between RMR and body weight (the "Kleiber relationship"), in which energy demands scale to the  $3/4^{\text{th}}$  power of body weight (Kleiber, 1961):

$$\text{RMR (kcal/day)} = 70\text{wt}^{0.75}$$

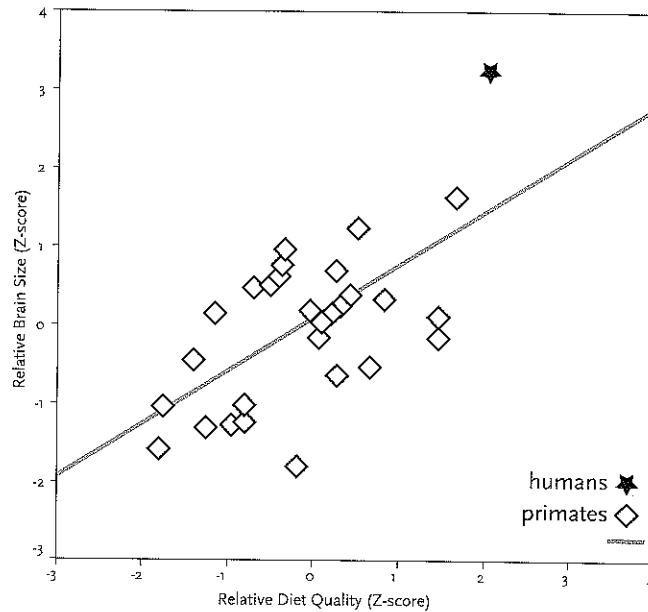
The implication of this is that humans allocate a much larger share of their daily energy budget to brain metabolism than other species. This is evident in Figure 2, which shows the scaling relationship between brain weight (grams) and RMR for the same species noted in Figure 1.



**Fig. 2.** Log-Log plot of brain weight (BW;g) versus RMR (kcal/day) for 51 species of terrestrial mammals. The primate regression line is systematically and significantly elevated above the non-primate mammal regression. The scaling relationships are: non-primate mammals:  $\text{BW} = 0.13(\text{RMR}^{0.92})$ ; primates:  $\text{BW} = 0.38(\text{RMR}^{0.95})$ . Thus, for a given RMR, primates have brain sizes that are three times those of other mammals, and humans have brain sizes that are three times those of other primates.

We find that at a given metabolic rate, primates have systematically larger brain sizes than other mammals, and humans, in turn, have larger brain sizes than other primates. Adult humans allocate 20-25% of their RMR to brain metabolism, approximately three times that of other primates (~7-9% of RMR), and nine times that of non-primate mammals (about 3% of RMR).

Important dimensions of human nutritional biology appear to be associated with the high-energy demands of our large brains. Humans consume diets that are more dense in energy and nutrients than other primates of similar size. For example, Cordain et al. (2000) have shown that modern human foraging populations typically derive 45-65% of their dietary energy intake from animal foods. In comparison, modern great apes obtain much of their diet from low-quality plant foods. Gorillas derive over 80% of their diet from fibrous foods such as leaves and bark (Richard, 1985). Even among chimpanzees, only about 5% of their calories are derived from animal foods, including insects (Teleki, 1981; Stanford, 1996). Meat and other animal foods are more concentrated sources of calories and nutrients than most of the plant foods typically eaten by large-bodied primates. This



**Fig. 3.** Plot of relative brain size versus relative diet quality for 31 primate species (including humans). Primates with higher quality diets for their size have relatively larger brain size ( $r = 0.63$ ;  $P < 0.001$ ). Humans represent the positive extremes for both measures, having large brain:body size and a substantially higher quality diet than expected for their size. Adapted from Leonard et al. (2003).

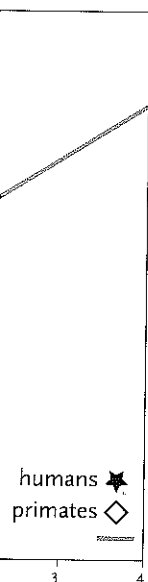
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higher-quality diet means that humans need to eat a smaller volume of food to get the energy and nutrients they require.

Comparative analyses of living primate species (including humans) support the link between brain size and dietary quality. Figure 3 shows relative brain size versus dietary quality (an index based on the relative proportions of leaves, fruit, and animal foods in the diet) for 31 different primate species (adapted from Leonard et al., 2003). There is a strong positive relationship ( $r = 0.63$ ;  $P < 0.001$ ) between the amount of energy allocated to the brain and the caloric and nutrient density of the diet. Across all primates, larger brains require higher-quality diets. Humans fall at the positive extremes for both parameters, having the largest relative brain size and the highest quality diet. This relationship implies that the evolution of larger hominin brains would have necessitated the adoption of a sufficiently high-quality diet to support the increased metabolic demands of greater encephalization.

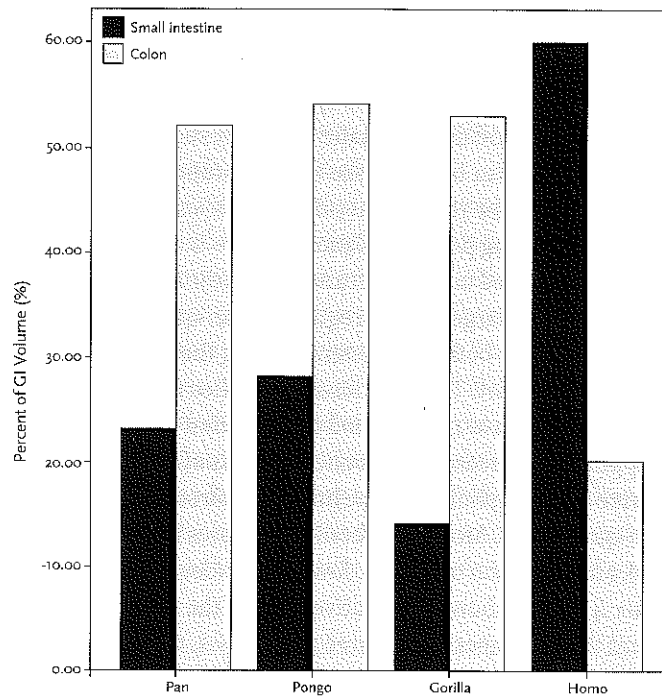
The relative size and morphology of the human gastrointestinal (GI) tract also reflect our high-quality diet. Most large-bodied primates have expanded large intestines (colons), an adaptation to fibrous, low-quality diets (Milton, 1987). This is evident in Figure 4, which shows the relative sizes of the colon and small intestines in humans and the great apes. In all three ape species, the colon accounts for over half of the GI volume and is greatly expanded over the size of the small intestine. Humans, on the other hand, have relatively enlarged small intestines and a reduced colon.

The enlarged colons of most large-bodied primates permits fermentation of low-quality plant fibers, allowing for extraction of additional energy in the form of volatile fatty acids (Milton and Demment, 1988; Milton, 1993). In contrast, the GI morphology of humans (small colon and relatively enlarged small intestine) is more similar to a carnivore, and reflects an adaptation to an easily digested, nutrient-rich diet (Sussman, 1987; Martin, 1989).

Together, these comparative data suggest that the dramatic expansion of brain size over the course of human evolution likely would have required the consumption of a diet that was more concentrated in energy and nutrients than is typically the case for most large primates. This *does not* imply that dietary change was the driving force behind major brain expansion during human evolution. Rather, the available evidence indicates that a sufficiently high-quality diet was probably a necessary condition for supporting the metabolic demands associated with evolving larger hominin brains.

### **Brain evolution in early Homo**

The human fossil record indicates that the first substantial burst of evolutionary change in hominin brain size occurred about 2.0 to 1.7 million years ago, associat-



**Fig. 4.** Relative proportions of the small intestine and large intestine (colon) in modern humans (*Homo sapiens*) and the great apes (*Pan troglodytes*, *Pongo pygmaeus*, *Gorilla gorilla*). The colon volume of humans is markedly smaller than that of all three great apes (20% of GI volume vs. > 50% in the apes), and is indicative of adaptation to a higher-quality and more easily digested diet. Data derived from Milton (1987).

ed with the emergence and evolution of early members of the genus *Homo*. Table 1 presents data on evolutionary changes in hominin brain size ( $\text{cm}^3$ ), estimated adult male and female body weights (kg) and posterior tooth area ( $\text{mm}^2$ ). The australopithecines showed only modest brain size evolution from about 430 to 530  $\text{cm}^3$  over more than 2 million years (from about 4 to 1.5 million years ago). However, with the evolution of the genus *Homo*, there were substantial increases in encephalization, with brain sizes of over 600  $\text{cm}^3$  in *Homo habilis* (at 1.9 – 1.6 mya) and 800–900  $\text{cm}^3$  in early members of *Homo erectus* (at 1.8 – 1.5 mya). Although the relative brain size of *Homo erectus* is smaller than the average for modern humans, it is outside of the range seen among other living primate species (Leonard and Robertson, 1994).

Changes in the craniofacial and dental anatomy of *Homo erectus* suggest that these forms were consuming different foods than their australopithecine ancestors. During the evolution of the australopithecines, the total surface area of the grinding teeth (molars and premolars) increased dramatically from 460  $\text{mm}^2$  in *A.*

**Table 1.** Geologic age, male body weight, and posterior tooth area for selected hominid species.

Species

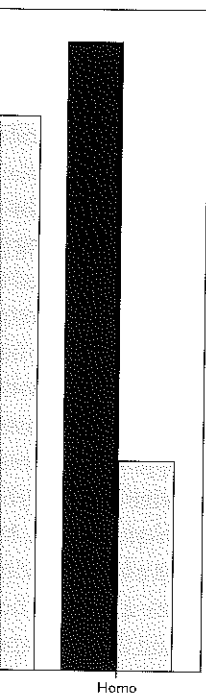
- A. afarensis*
- A. africanus*
- A. boisei*
- A. robustus*
- Homo habilis*  
(*sensu stricto*)
- H. erectus* (early)
- H. erectus* (late)
- H. sapiens*

All data from Milton (1987). Male body weight is the average of the specimens from the site (2000, 2001).

*afarensis* to 70% of modern human body weight, and approximately 10% of modern human body weight. Postcranial remains of *H. erectus*. *H. erectus* also shows a reduction in body size relative to the australopithecines, but this is not true for all specimens (McHenry, 1992). The reduction in body size is consistent with the hypothesis of Coffing, 2000, who argued that the reduction in body size was due to a reduction in food resources. How this reduction in body size is related to the reduction in body size is not clear.

#### Dietary changes

The marked changes in the morphology of the posterior teeth of *H. erectus* suggest that the composition of the diet was different from that of the australopithecines.



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**Table 1.** Geological ages (millions of years ago), brain size (cm<sup>3</sup>), estimated male and female body weights (kg), and postcanine tooth surface areas (mm<sup>2</sup>) for selected fossil hominid species.

Species	Geological age (mya)	Brain size (cm <sup>3</sup> )	Body Weight		Postcanine tooth surface (mm <sup>2</sup> )
			Male (kg)	Female (kg)	
<i>A. afarensis</i>	3.9-3.0	438	45	29	460
<i>A. africanus</i>	3.0-2.4	452	41	30	516
<i>A. boisei</i>	2.3-1.4	521	49	34	756
<i>A. robustus</i>	1.9-1.4	530	40	32	588
<i>Homo habilis</i> ( <i>sensu stricto</i> )	1.9-1.6	612	37	32	478
<i>H. erectus</i> (early)	1.8-1.5	863	66	54	377
<i>H. erectus</i> (late)	0.5-0.3	980	60	55	390
<i>H. sapiens</i>	0.4-0.0	1350	58	49	334

All data from McHenry and Coffing (2000), except for *Homo erectus*. Early *H. erectus* brain size is the average of African specimens as presented in McHenry (1994b), Indonesian specimens from Antón and Swisher (2001) and Georgian specimens from Gabunia et al. (2000, 2001). Data for late *H. erectus* are from McHenry (1994a).

*afarensis* to 756 mm<sup>2</sup> in *A. boisei*. In contrast, with the emergence of early *Homo* at approximately 2 million years ago, we see marked reductions in the posterior dentition. Postcanine tooth surface area is 478 mm<sup>2</sup> in *H. habilis* and 377 mm<sup>2</sup> in early *H. erectus*.

*H. erectus* also shows substantial reductions in craniofacial and mandibular robusticity relative to the australopithecines (Wolpoff, 1999). Yet, despite having smaller teeth and jaws, *H. erectus* was a much bigger animal than the australopithecines, being human-like in its stature, body mass and body proportions (McHenry, 1992, 1994a; Ruff and Walker, 1993; Ruff et al., 1997; McHenry and Coffing, 2000). Together these features indicate that early *Homo erectus* was consuming a richer, more calorically dense diet with less low-quality fibrous plant material. How the diet might have changed with the emergence of *H. erectus* is examined in the following section.

### **Dietary changes associated with brain evolution in early *Homo***

The marked increases in brain and body size coupled with the reductions of posterior tooth size and craniofacial robusticity all suggest that there was a shift in the composition and quality of the diet consumed by *H. erectus*. However, there re-

mains considerable debate over what kinds of dietary changes likely occurred during this period of human evolution. The most widely held view is that the diet of early *Homo* included more animal foods (Stanford and Bunn, 2001). The environment at the Plio-Pleistocene boundary (2.0 – 1.8 mya) was becoming increasingly drier, creating more arid grasslands (Vrba, 1995; Reed, 1997; Owen-Smith, 1999). These changes in the African landscape made animal foods more abundant and, thus, an increasingly attractive food resource (Behrensmeyer et al., 1997). Specifically, when we examine modern ecosystems, we find that although savanna/grasslands have much lower net primary productivity than woodlands (4050 vs. 7200 kcal/m<sup>2</sup>/yr), the level of herbivore productivity in savannas is almost three times that of the woodlands (10.2 vs. 3.6 kcal/m<sup>2</sup>/yr) (Leonard and Robertson, 1997). Thus, fundamental changes in the ecosystem structure during the Plio-Pleistocene transition likely resulted in a net increase in the energetic abundance of game animals in the African landscape. Such an increase would have offered an opportunity for hominins with sufficient capability to exploit the animal resources.

The archaeological record provides evidence that this occurred with *Homo erectus* – the development of the first rudimentary hunting and gathering economy in which game animals became a significant part of the diet and resources were shared within foraging groups (Potts, 1988; Harris and Capaldo, 1993; Roche et al., 1999). These changes in diet and foraging behaviour would not have turned our hominin ancestors into carnivores; however, the addition of even modest amounts of meat to the diet (10-20% of dietary energy), combined with the sharing of resources that is typical of hunter-gatherer groups, would have significantly increased the quality and stability of hominin diets.

Greater consumption of animal foods also would have provided increased levels of key fatty acids that would have been necessary for supporting the rapid brain evolution seen with the emergence of *H. erectus*. Mammalian brain growth is dependent upon sufficient amounts of two long-chain polyunsaturated fatty acids (PUFAs): docosahexaenoic acid (DHA) and arachidonic acid (AA) (Crawford et al., 1999; Cordain et al., 2001). Because the composition of all mammalian brain tissue is similar with respect to these two fatty acids, species with higher levels of encephalization have greater requirements for DHA and AA (Crawford et al., 1999). It also appears that mammals have a limited capacity to synthesize these fatty acids from dietary precursors. Consequently, dietary sources of DHA and AA were likely limiting nutrients that constrained the evolution of larger brain size in many mammalian lineages (Crawford, 1992; Crawford et al., 1999).

Cordain and colleagues (2001) have shown that the wild plant foods available on the African savanna (e.g., tubers, nuts) contain, at most, trace amounts of AA and DHA, whereas muscle tissue and organ meat of wild African ruminants provide

**Table 2.** Energy (kcal) and DHA and AA (DHA) contents of various food items from Cordain et al.

Food item
African ruminant
African ruminant
African ruminant
African ruminant
African fish
Wild tuber/roots
Mixed wild plants

moderate to high levels of energy and a rich source of animal products. These sources of AA and DHA are freshwater fish and game animals (Cordain et al., 2001). Broadhurst et al. (2001) argue that encephalization was supported by marine trine resources. The use of aquatic resources as an alternative source of energy is proposed by Wrangham (1999). The controlled use of animal products and the density of their consumption would have increased the nutritional content of the diet. The small intestine of ruminants is a fermenter (Tagliabue et al., 1999) and the starch granules in the diet, known as gelatin, are broken down by digestive enzymes. The nutritional value of the diet is available for bioenergetics. Although cooking is not necessary, it is served to increase the digestibility of the diet for the control of energy intake (Cordain et al., 1994; Brain et al., 2001).



changes likely occurred during the Pleistocene. The held view is that the diet of early hominins (Bunn, 2001). The environment was becoming increasingly open (Owen-Smith, 1997). Foods more abundant and diverse (Owen-Smith, 1997). Specifically, that although savanna/woodlands (4050 vs. 4050) in savannas is almost three times that of woodlands (Leonard and Robertson, 2005). The structure during the Pliocene in the energetic abundance would have offered an opportunity to exploit the animal resources.

occurred with *Homo erectus* and gathering economy in the diet and resources were abundant (Capaldo, 1993; Roche et al., 2002). Our view would not have turned out as well as the addition of even modest amounts of fish, combined with the shared resources, would have significantly increased the dietary quality.

provided increased levels of energy supporting the rapid brain growth. The African brain growth is dependent on polyunsaturated fatty acids, specifically arachidonic acid (AA) (Crawford et al., 2003). AA is found in all mammalian brain tissues, but in higher levels of primates and AA (Crawford et al., 2003). The capacity to synthesize these fatty acids is a major source of DHA and AA in the diet. The evolution of larger brain size in hominins (Crawford et al., 1999).

plant foods available on the savanna. Trace amounts of AA and DHA in African ruminants provide

**Table 2.** Energy (kcal), fat (g), protein (g), arachidonic acid (AA) and docosahexaenoic acid (DHA) contents of African ruminant, fish and wild plant foods per 100 grams. Data derived from Cordain et al. (2001).

Food item	Energy (kcal)	Fat (g)	Protein (g)	AA (mg)	DHA (mg)
African ruminant (brain)	126	9.3	9.8	533	861
African ruminant (liver)	159	7.1	22.6	192	41
African ruminant (muscle)	113	2.1	22.7	152	10
African ruminant (fat)	745	82.3	1.0	20-180	trace
African fish	119	4.5	18.8	270	549
Wild tuber/roots	96	0.5	2.0	0	0
Mixed wild plants	129	2.8	4.1	0	0

moderate to high levels of these key fatty acids. As shown in Table 2, brain tissue is a rich source of both AA and DHA, whereas liver and muscle tissues are good sources of AA and moderate sources of DHA. Other good sources of AA and DHA are freshwater fish and shellfish (Broadhurst et al., 1998; Crawford et al., 1999; Cordain et al., 2001). Cunnane and colleagues (Cunnane and Crawford, 2003; Broadhurst et al., 1998) have suggested that the major increases in hominin encephalization were associated with systematic use of aquatic or marine or lacustrine resources. However, there is little archaeological evidence for the systematic use of aquatic resources until later in human evolution (Klein, 1999).

An alternative strategy for increasing dietary quality in early *Homo* has been proposed by Wrangham and colleagues (1999, 2003). These authors argue that the controlled use of fire for cooking allowed early *Homo* to improve the nutritional density of their diet. They note that the cooking of savanna tubers and other plant foods would have served to both soften them and increase their energy/nutritional content. In their raw form, the starch in roots and tubers is not absorbed in the small intestine and is passed through the body as non-digestible carbohydrate (Tagliabue et al., 1995; Englyst and Englyst, 2005). However, when heated, the starch granules swell and are disrupted from the cell walls. This process, known as gelatinization, makes the starch much more accessible to breakdown by digestive enzymes (García-Alonso and Goñi, 2000). Thus, cooking increases the nutritional quality of tubers by making more of the carbohydrate energy available for biological processes.

Although cooking is clearly an important innovation in hominin evolution, which served to increase dietary digestibility and quality, there is very limited evidence for the controlled use of fire by hominins before 1.5 million years ago (Bellomo, 1994; Brain and Sillen, 1988; Pennisi, 1999). The more widely held view is that

the use of fire and cooking did not occur until later in human evolution, at 200–250,000 years ago (Straus, 1989; Weiner et al., 1998). In addition, nutritional analyses of wild tubers used by modern foraging populations (e.g., Wehmeyer et al., 1969; Brand-Miller and Holt, 1998; Schoeninger et al., 2001) suggest that the energy content of these resources is markedly lower than that of animal foods, even after cooking (Cordain et al., 2001). Unlike animal foods, tubers are also devoid of both DHA and AA (Cordain et al., 2001; see Table 2). Consequently, there remain major questions about whether cooking and the heavy reliance on roots and tubers were important forces for promoting rapid brain evolution with the emergence of early *Homo*.

Overall, the available evidence seems to best support a mixed dietary strategy in early *Homo* that involved the consumption of larger amounts of animal foods than with the australopithecines. Ungar and colleagues (2006) have recently suggested that early *Homo* likely pursued a “flexible” and “versatile” subsistence strategy that would have allowed them to adapt to the patchy and seasonally variable distribution of food resources on the African savanna. They note that such a model is more plausible than ones proposing heavy reliance on one particular type of resource (e.g. meat or tubers). This is indeed true; however, what appears to be happening with early *Homo* – especially with *H. erectus* – is the development of a more stable and effective way of extracting resources from the environment. The increase in dietary quality and stability was likely achieved partly through changes in diet composition (Leonard and Robertson, 1994; Cordain et al., 2001) and partly through social and behavioural changes like food sharing and perhaps division of foraging tasks (Isaac, 1978; Kaplan et al., 2000). This greater nutritional stability provided a critical foundation for fueling the energy demands of larger brain sizes.

### **Implications of changes in body composition for brain evolution**

In addition to improvements in dietary quality, the increased metabolic cost of larger brain size in human evolution also appears to have been supported by changes in body composition. Because humans allocate a substantially larger share of their daily energy budget to their brains than do other primates or other mammals, this implies that the size and metabolic demands of certain other organs/organ systems may be relatively reduced in humans compared with other species. Thus, the critical question is: which organs have been reduced or altered in their relative size over the course of human evolution to compensate for the expansion of brain size?

Analyses of human and primate body composition offer possible answers to this question. Aiello (1997; this volume) and Aiello and Wheeler (1995) have argued

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**Table 3.** Body weight (RMR; kcal/day), and ...  
mans from birth to a

Age

New born  
3 months  
18 months  
5 years  
10 years  
Adult male  
Adult female

)<sup>a</sup> All data are from ...  
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human evolution, at 200-). In addition, nutritional (e.g., Wehmeyer et al., 2001) suggest that the than that of animal foods, tubers are also de- (table 2). Consequently, there the heavy reliance on roots d brain evolution with the

a mixed dietary strategy in counts of animal foods than (2006) have recently sug- "versatile" subsistence strat- and seasonally variable They note that such a mod- ce on one particular type of However, what appears to be s – is the development of a rom the environment. The ved partly through changes rdain et al., 2001) and part- aring and perhaps division s greater nutritional stabili- y demands of larger brain

### Brain evolution

Increased metabolic cost of o have been supported by cate a substantially larger do other primates or other emands of certain other or- mans compared with other ve been reduced or altered n to compensate for the ex-

fer possible answers to this Wheeler (1995) have argued

that the increased energy demands of the human brain were accommodated by the reduction in size of the GI tract. Since the intestines are similar to the brain in having very high energy demands (so-called "expensive tissues"), the reduction in size of the large intestines of humans, relative to other primates, is thought to provide the necessary energy "savings" required to support elevated brain metabolism. Aiello and Wheeler (1995) have shown that among a sample of 18 primate species (including humans), increased brain size was associated with reduced gut size. However, recent analyses by Snodgrass et al. (1999) have failed to demonstrate the significant differences in GI size between primates and non-primate mammals that are predicted from the "expensive tissue hypothesis". Thus, questions remain about the extent to which reductions in GI size may have accommodated the dramatic expansion of brain size during the course of human evolution. Leonard and colleagues (2003) and Kuzawa (1998) have suggested that differences in muscle and fat mass between humans and other primates may also account for the variation in budgeting of metabolic energy. Relative to other primates and other mammals, humans have lower levels of muscle mass and higher levels of body fatness (Leonard et al., 2003). The relatively high levels of body fatness (adiposity) in humans have two important metabolic implications for brain metabolism. First, because fat has lower energy requirements than muscle tissue, replacing muscle mass with fat mass results in energy "savings" that can be allocated to the brain. Additionally, fat provides a ready source of stored energy that can be drawn upon during periods of limited food availability. Consequently, the higher levels of body fat in humans may also help to support a larger brain size by providing stored energy to buffer against environmental fluctuations in nutritional resources.

**Table 3.** Body weight (kg), brain weight (g), percent body fat (%), resting metabolic rate (RMR; kcal/day), and percent of RMR allocated to brain metabolism (BrMet, %) for humans from birth to adulthood.<sup>a</sup>

Age	Body weight (kg)	Brain weight (g)	Body fat (%)	RMR (kcal/day)	BrMet (%)
New born	3.5	475	16	161	87
3 months	5.5	650	22	300	64
18 months	11.0	1045	25	590	53
5 years	19.0	1235	15	830	44
10 years	31.0	1350	15	1160	34
Adult male	70.0	1400	11	1800	23
Adult female	50.0	1360	20	1480	27

<sup>a</sup> All data are from Holliday (1986), except for percent body fat data for children 18 months and younger, which are from Dewey et al. (1993).

The importance of body fat is particularly notable in human infants, which have both high brain to body weight ratios and high levels of body fatness. Table 3 shows age-related changes in body weight (kg), brain weight (g), fatness (%), RMR (kcal/day) and percent of RMR allocated to the brain for humans from birth to adulthood. We see that in infants, brain metabolism accounts for upwards of 60% of RMR. Human infants are also considerably fatter than those of other mammalian species (Kuzawa, 1998). Body fatness in human infants is about 15-16% at birth, and continues to increase to 25-26% during the first 12 to 18 months of post-natal growth. Fatness then declines to about 15% by early childhood (Dewey et al., 1993). Thus, during early human growth and development, it appears that body fatness is highest during the periods of the greatest metabolic demand of the brain.

It is likely that fundamental changes in body composition (i.e., the relative sizes of different organ systems) during the course of hominin evolution allowed for the expansion of brain size without substantial increases in the total energy demands for the body. At present, we do not know which alterations were the most critical for accommodating brain expansion. Variation in body composition both within and between primate species is still not well understood. Among humans, our knowledge of variation in body composition is based largely on data from populations of the industrialized world. Consequently, more and better data on interspecific and ontogenetic variation in primate and human body composition are necessary to further resolve these issues.

New imaging techniques such as magnetic resonance imaging (MRI) and positron emission tomography (PET scans) offer the potential to directly explore variation in organ weight and organ-specific energy demands in living humans and primates. For example, Gallagher et al. (2006) recently used MRI technology to measure how differences in organ weights contribute to ethnic differences in RMRs among living humans. These authors demonstrated that the significant differences in RMR between their African-American and Euroamerican samples could be accounted for by differences in the summed weight of the most metabolically expensive organs (liver, heart, spleen, kidneys and brain). Similarly, Chugani (1998) has recently utilized PET scans to quantify changes in glucose utilization in the human brain from birth to adulthood. His findings suggest that the extremely high metabolic costs of brain metabolism characteristic of early human life (as outlined in Table 3) may extend further into childhood than previously realized. Together these studies highlight the potential use of new imaging techniques to improve our understanding of how interspecific variation in body composition contributes to differences in metabolic rate.

## Conclusions

An energetic perspective on brain size in the hominid lineage has important consequences for understanding energy budgeting in mammals. The high brain to body weight ratios that are relatively rare among mammals relative to other mammals are associated with dietary quality and both a very high-quality diet and greater encephalization. Greater encephalization is associated with a "body composition shift" from "muscular" to "muscled", having a higher proportion of muscle mass. Species of similar body composition, such as humans, particularly those with high levels of muscle mass, have high brain to body weight ratios in two important respects: (1) "the brain" and (2) "the body". With the emergence of modern humans, we find the first major corresponding change in body composition with evidence from the fossil record of consuming a high-quality diet. Further research is needed to determine that took place with the emergence of modern humans and interspecific differences in metabolic rates.

## Conclusions

An energetic perspective is particularly useful for understanding the evolution of brain size in the hominin lineage. Large human brain sizes have important metabolic consequences as humans expend a relatively larger proportion of their resting energy budget on brain metabolism than other primates or non-primate mammals. The high costs of large human brains are supported, in part, by diets that are relatively rich in energy and other nutrients. Among living primates, the relative proportion of metabolic energy allocated to the brain is positively correlated with dietary quality. Humans fall at the positive end of this relationship, having both a very high-quality diet and a large brain size.

Greater encephalization also appears to have consequences for other aspects of body composition. Comparative primate data indicate that humans are "under-muscled", having relatively lower levels of skeletal muscle than other primate species of similar size. Conversely, levels of body fatness are relatively high in humans, particularly in infancy. These greater levels of body fatness and reduced levels of muscle mass allow human infants to accommodate the growth of their large brains in two important ways: (1) by having a ready supply of stored energy to "feed the brain" and (2) by reducing the total energy costs of the rest of the body.

With the emergence and evolution of the genus *Homo* between 2.0 and 1.7 mya, we find the first major pulse of brain evolution in the hominin lineage. The corresponding changes in craniofacial anatomy and postcanine tooth size, coupled with evidence from the archaeological record, suggest that these hominins were consuming a higher-quality and more stable diet that would have helped to fuel the increases in brain size.

Further research is needed to better understand the nature of the dietary changes that took place with the emergence of *Homo*. In addition, the application of new biomedical imaging techniques offers the potential to directly explore how intra- and interspecific variation in body composition may contribute to the variation in metabolic rates.

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## The E Histor

Hillard S. Kap

### **Introduction**

This paper presents both the primate dress the simultaneous span, it extends search into the evolution (Cole, 1954; Gadson, *the embodied* Robson, 2001b), investments and The chapter begins applies it to understand characteristics of priaries in the primate course is then comparative development evolution of the human development issues raised at the

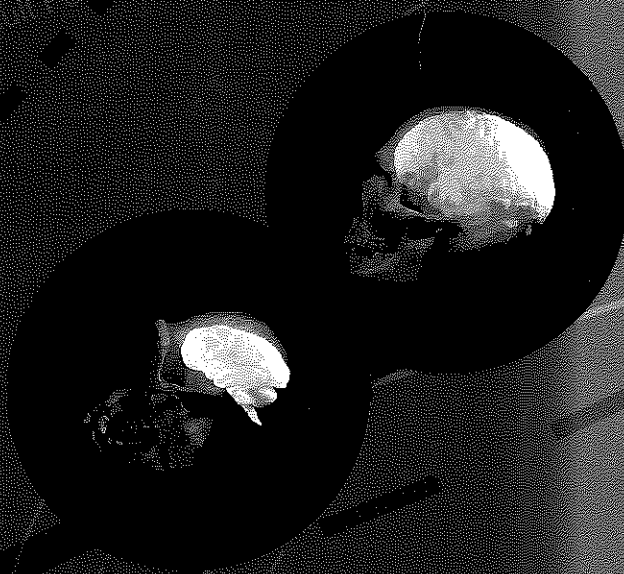
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# Guts AND Brains

*An Integrative Approach  
to the Hominin Record*

**Wil Roebroeks (ed.)**



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